



e the Application of:

CARL M. ELLISON, ET AL.

Application No.: 09/668,585

Filed: September 22, 2000

For: Managing Secure Platform Using a

Hierarchical Executive Architecture in

Isolated Execution Mode

Art Group: 2185

Examiner: Unassigned

RECEIVED

DEC 1 8 2003

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/539,344, filed March 31, 2000) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement(s). Any fees that are required for submission of an Information Disclosure Statement were included in the electronic submission. Applicant respectfully requests that one submitted fee be accepted for the second and any subsequent Information Disclosure Statement submissions.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

William W. Schaal, Reg. No. 39,018

3200 Park Center Drive, Suite 750 Costa Mesa, CA 92626

Date: December 10, 2003

Telephone: (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

. Reyrolds

Corrinn R. Reynolds

Date

DEC 1 7 2003

218569

December 20, 2003

Date

TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Application No.	09/668,585							
			Filing Date	September 22, 2000							
			First Named Inventor	Carl M. Ellison							
			Art Unit	2185							
			Examiner Name	Unassigned							
Total Number of Pag	ges in This Submissi	on	Attorney Docket Number	42390P8098X							
ENCLOSURES (check all that apply)											
Fee Transmittal Fo	orm	Drawing(s)		After Allowance Communication to Group							
Fee Attache	ed	Licensing-r	elated Papers	Appeal Communication to Board of Appeals and Interferences							
Amendment / Res	ponse	Petition		Appeal Communication to Group (Appeal Notice, Brief, Repty Brief)							
After Final Affidavits/de	eclaration(s)	Petition to 0 Provisional	Convert a Application	Proprietary Information							
Extension of Time Request		Power of A Change of	ttorney, Revocation Correspondence Address	Status Letter							
Express Abandonment Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):							
Information Disclosure Statement		Request for	r Refund	Cited References							
PTO/SB/08 Certified Copy of Priority Document(s)		CD, Numbe	er of CD(s)	RECEIVED							
Document(s) Response to Missing Parts/ Incomplete Application Basic Filing Fee		Remarks		Technology Center 21							
Declaration/POA Response to Missing Parts under 37 CFR		DEC 1 9 2003		1 186: Illology Center 21							
Parts under 1.52 or 1.53				שב							
	SIGNATURE	OF APPLICAN	NT, ATTORNEY, OR AG	ENT							
Firm or	William W. Schaal, Reg. No. 39,018										
Individual name	BLAKELY, S	OKOLOFF, T	TAYLOR & ZAFMA	AN LLP							
Signature Minimum 1											
Date December 10, 2003											
	CERTIFI	CATE OF MAIL	ING/TRANSMISSION								
I hereby certify that this of sufficient postage as firs 22313-1450.	correspondence is be it class mail in an env	eing deposited with elope addressed	h the United States Postal S to: Commissioner for Paten	Service on the date shown below with ots, P.O. Box 1450, Alexandria, VA							
Typed or printed nam	e Corrinn R. F	Reynolds		an							

Signature

DEC 1 7 2003

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known ÉE TRANSMITTAL for FY 2003 Application Number 09/668,585 September 22, 2000 Filing Date Carl M. Ellison First Named Inventor Effective 01/01/2003. Patent fees are subject to annual revision. **Examiner Name** Unassigned Applicant claims small entity status. See 37 CFR 1.27. 2185 42390P8098X Group/Art Unit

Attorney Docket No.

Check Credit card Morey Other	METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
Deposit Account	Company Company Company	3. A[3. ADDITIONAL FEES					
Deposit Account Account Account Account Account Blakely, Sokoloff, Taylor & Zafman LLP 1051 130 2051 65 Surcharge - late filing fee or outh 1052 50 2052 25 Surcharge - late filing fee or outh 1052 50 2053 310 Non-English specification 1052 130 2053 310 Non-English specification 1052 2050 1052 2053 2053 1052 2053 20	- Order	Large	Entity	ı Sma	II Entity	,	l	
Charge (sets) indicated below Credit any overpayments Security Securi	Deposit Account					_	ĺ	
Deposit		Code	(\$)	Code	(\$)	Fee Description Fee Paid	İ	
Blakely, Sokoloff, Taylor & Zafman LLP 203 130 203	Number U2-2666	1051	130	2051	65	Surcharge - late filing fee or oath		
Account Blakety, Sokoloff, Taylor & Zafman LLP 150 250 130 150 150 250 150 250 150 250 150 250 150 250 150 250 150 250 150 250 150 250 150 250 150 250 150 250 150 250 150 250 150 250 150 250		1052	50	2052	25			
1812 2.520 1812	Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130			
Charge fre(s) indicated below Credit any overpayments 1804 920 * Requesting publication of SIR prior to Examiner action 1804 1805 1807 * 1805								
Charge any additional fee(c) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.			920 *	1804	920 *			
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account 1251 110 2251 55 Extension for reply within first month 1252 420 2252 201 Extension for reply within first month 1252 420 2253 475 Extension for reply within flourth month 1252 420 2253 475 Extension for reply within flourth month 1252 420 2253 475 Extension for reply within flourth month 1252 420 2253 475 Extension for reply within flourth month 1253 420	<u> </u>						ı	
1251 110 1251 150 1252 1250 12			1,840*	1805	1,840			
1. BASIC FILING FEE 1253 950 1253 950 1253 950 1254 1.480 1255 1.210 1			110	2251	55	Extension for reply within first month		
1. BASIC FILING FEE 1.2mg Errby Small	FFF CALCULATION						h	
1,254 1,480 1,255 1,210 1,255 1,250 1,255 1,250 1,255 1,25						Extension for reply within third month	P	
Fee				l	740	Extension for reply within fourth month		
1001 770 2001 385 Utility filing fee 1404 330 2401 165 Notice of Appeal 1405 330 2402 165 Filing a brief in support of an appeal 1404 1405 290 2403 145 Request for oral hearing 1405 140	Fee Fee Fee Fee Description Fee Paid		-	l	605	Extension for reply within fifth month UEU 1 8 / 2003	ł	
1001 770 2001 385 Utility filing fee 1402 330 2402 165 Filing a brief in support of an appeal 6C100 CGV CG100 CG1000 CG10000 CG1000 CG10000 CG10000 CG100	Code (\$) Code (\$)			l		Notice of Appeal		
1003 500 2003 265 Plant fling fee 1451 1,510 2451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable 1452 110 2452 55 Petition to revive - unavoidable 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2453 65 Petition to revive - unavoidable 1453 1,330 2453 65 Petition to revive - unavoidable 1453 1,330 2453 65 Petition to revive - unavoidable 1453 1,330 2453 65 Petition to revive - unavoidable 1503 1,330 2453 65 Petition to revive - unavoidable 1503 1,330 2453 65 Petition to revive - unavoidable 1503 1,330 2453 1,330 2453 65 Petition to revive - unavoidable 1503 1,330 2453 1,330 2453 1,330 2453 1,330 2453 1,330 2453 1,330 2453 1,330 2453 1,330 2453 1,330 2453 1,330 2453 1,330 2453 1,330 2453 1,330 2453 1,330 2453 1,330 2453 1,330 2,300 2453 1,330 2,300	1001 770 2001 385 Utility filing fee					Filing a brief in support of an appeal echnology Genter 4	IJ	
1003 500 2003 265 Plant lining fee 1451 1,510 2451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unintentional 1451 1,510 2452 55 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1452 110 2452 55 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to revive - unintentional 1451 1,330 2453 665 Petition to reviv		L		l				
1004 770 2004 385 Resisue liming fee 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unintentional 1501 1,330 2501 665 Utility issue fee (or reissue) 1502 480 2502 240 Design issue fee 1503 640 2503 320 Plant issue fee 1503		1		l				
SUBTOTAL (1) (5) 1453 1.330 2453 665 Petition to revive - unintentional 1501 1.330 2501 665 Utility issue fee (or reissue) 1502 480 2502 240 Design issue fee (or reissue) 1502 480 2502 240 Design issue fee (or reissue) 1503 640 2503 320 Plant issue fee (or reissue) 1502 640 Plant issue fee (or reissue) 1502 640 Plant issue fee (or reissue) 1503 640 2503 320 Plant issue fee (or reissue) 1503 640 2503 320 Plant issue fee (or reissue) 1503 640 2503 320 Plant issue fee (or reissue) 1503 640 2503 320 Plant issue fee (or reissue) 1503 640 2503 320 Plant issue fee (or reissue) 1503 640 2503 320 Plant issue fee (or reissue) 1503 640 2503 320 Plant issue fee (or reissue) 1503 640 2503 320 Plant issue fee (or reissue) 1503 640 2503 320 Plant issue fee (or reissue) 1503 640 2503 320 Plant issue fee (or reissue) 1503 640 2503 320 Plant issue fee (or reissue) 1503 640 250				l	55	Petition to revive - unavoidable		
2. EXTRA CLAIM FEES Extra Fee from below Fee Paid 1503 640 2503 320 Plant issue fee	1005 100 2005 00 Provisional limity lee		1.330		665	Petition to revive - unintentional		
2. EXTRA CLAIM FEES Extra Fee from bolow Fee Paid 1502 480 2502 240 Design issue fee	SUBTOTAL (1) (\$)			İ	665	Utility issue fee (or reissue)		
Total Claims Independent Indep	2 EVIDA CI AIM EEES	1						
Claims Submission of Information Disclosure Stmt Submission of Information Disclosure Stmt		1503	640	2503	320	Plant issue fee	t	
Independent Claims Multiple Dependent Large Entity Small Entity Foe Fee Fee Fee Description 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple Dependent claims in over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent SUBTOTAL (2) Small Entity The Fee Fee Fee Description 1807 50 Processing fee under 37 CFR 1.17(q) 1808 180 180 Submission of Information Disclosure Stmt 1808 180 180 Recording each patent assignment per property (times number of properties) 1809 770 1809 385 Filing a submission after final rejection (37 CFR § 1.129(a)) 1809 770 1809 385 For each additional invention to be examined (37 CFR § 1.129(a)) 1810 770 2810 385 For each additional invention to be examined (37 CFR § 1.129(b)) 1801 770 2801 385 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application 1801 770 2801 385 Request for Continued Examination of a design application 1802 900 Request for expedited examination of a design application 1803 385 Request for Continued Examination of a design application 1804 900 Request for expedited examination of a design application 1805 900 Request for expedited examination of a design application 1805 900 Request for expedited examination of a design application 1805 900 Request for expedited examination of a design application 1805 900 Request for expedited examination of a design application 1806 180 Submission of Information Disclosure Stmt	Total Claims 20° - X	1460	130	2460	130	Petitions to the Commissioner		
Multiple Dependent Large Entity Small Entity Fee Fee Fee Fee Code (5) Code (6) Code (5) Code (6) Code	Independent	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Fee Fee Fee Fee Fee Fee Description 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple Dependent claim, if not paid 1204 86 2204 43 "Reissue independent claims over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination	1806	180	1806	180	Submission of Information Disclosure Stmt			
Fee Fee Fee Fee Fee Description 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple Dependent claim, if not paid 1204 86 2204 43 "Reissue independent claims over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) "**Or number previously paid, if greater, For Reissues, see below Tee Description 1809 770 1809 385 Filing a submission after final rejection (37 CFR § 1.129(a)) 1810 770 2810 385 For each additional invention to be examined (37 CFR § 1.129(b)) 1801 770 2801 385 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application Other fee (specify) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	Large Entity Small Entity	ŀ				Recording each patent assignment per	l	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple Dependent claim, if not paid 1204 86 2204 43 "Reissue independent claims over original patent 1 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1 SUBTOTAL (2) (\$) "*Or number previously paid, if greater, For Reissues, see below (37 CFR § 1.129(a)) 1810 770 2810 385 For each additional invention to be examined (37 CFR § 1.129(b)) 1801 770 2801 385 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application Other fee (specify) *Reduced by Basic Fing Fee Paid SUBTOTAL (3) (\$)		ĺ					1	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple Dependent claim, if not paid 1204 86 2204 43 "Reissue independent claims over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 905 Reduced by Basic Filing Fee Paid 18	Code (\$) Code (\$)	1809	770	1809	385		l	
2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple Dependent claims, if not paid 1204 86 2204 43 "Reissue independent claims over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) "Yor number previously paid, if greater, For Reissues, see below Subtotal (3) Subtotal (3) Subtotal (3)	1202 18 2202 9 Claims in excess of 20	4040	770	2040	205		l	
1204 86 2204 43 "Reissue independent claims over original patent 1801 770 2801 385 Request for Continued Examination (RCE) 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination of a design application 1802 900 Request for expedited examination 1802 900 Reques	·	1810	110	2810	383		ĺ	
patent 1802 900 1802 900 Request for expedited examination of a design application Other fee (specify) SUBTOTAL (2) (\$) "Yor number previously paid, if greater, For Reissues, see below 1802 900 Request for expedited examination of a design application "Reduced by Basic Fing Fee Paid SUBTOTAL (3) (\$)			770	2801	385	Request for Continued Examination (RCE)	1	
1205 18 2205 9 "Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) "Freduced by Basic Fling Fee Paid SUBTOTAL (3) (\$) "Freduced by Basic Fling Fee Paid SUBTOTAL (3) (\$)			900	1802	900		1	
*Reduced by Basic Fing Fee Paid SUBTOTAL (3) (5)		Other fee	e (specify)	1		ot a design application		
*Reduced by Basic Fing Fee Paid SUBTOTAL (3) (5)	SUBTOTAL (2) (\$)	1					l	
SUBMITTED BY Complete (if applicable)		*Reduced	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (5)					
	-							

Registration No. (714) 557-3800 Telephone William W. Schaal 39,018 Name (Print/Type) (Attorney/Agent) 12/10/03 Date Signature